

U.S. FISHERMEN'S QUESTIONNAIRE

**FROZEN WARMWATER SHRIMP FROM CHINA, ECUADOR, INDIA, INDONESIA,
MALAYSIA, THAILAND, AND VIETNAM**

This questionnaire must be received by the Commission by no later than January 11, 2013

See page 4 of the Instruction Booklet for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty investigations concerning frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, and Vietnam (Inv. Nos. 701-TA-491-497 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm _____
Address _____
City _____ State _____ Zip Code _____
World Wide Web address _____
Has your firm harvested frozen warmwater shrimp (as defined in the instruction booklet) at any time since January 1, 2009?
<input type="checkbox"/> NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)
<input type="checkbox"/> YES (Read the instruction booklet carefully, complete all parts of the questionnaire, and return the entire questionnaire to the Commission so as to be received by the date indicated above)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I acknowledge that information submitted in this questionnaire response and throughout this proceeding may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this proceeding or related proceedings for which this information is submitted, or in internal audits and proceedings relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

_____ <i>Name of Authorized Official</i>	_____ <i>Title of Authorized Official</i>	_____ <i>Date</i>
_____ <i>Signature</i>	_____ <i>Phone:</i>	_____ <i>Email address</i>
	_____ <i>Fax:</i>	

PART I.—GENERAL INFORMATION

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. **OMB statistics**--Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____hours _____dollars

I-1b. **OMB feedback**--We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your response or send them to the above address.

I-2. **Establishments covered**--Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

I-3. **Petition support**--Do you support or oppose the petition?

China	<input type="checkbox"/> Support	<input type="checkbox"/> Oppose	<input type="checkbox"/> Take no position
Ecuador	<input type="checkbox"/> Support	<input type="checkbox"/> Oppose	<input type="checkbox"/> Take no position
India	<input type="checkbox"/> Support	<input type="checkbox"/> Oppose	<input type="checkbox"/> Take no position
Indonesia	<input type="checkbox"/> Support	<input type="checkbox"/> Oppose	<input type="checkbox"/> Take no position
Malaysia	<input type="checkbox"/> Support	<input type="checkbox"/> Oppose	<input type="checkbox"/> Take no position
Thailand	<input type="checkbox"/> Support	<input type="checkbox"/> Oppose	<input type="checkbox"/> Take no position
Vietnam	<input type="checkbox"/> Support	<input type="checkbox"/> Oppose	<input type="checkbox"/> Take no position

PART I.--GENERAL INFORMATION--Continued

I-4. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I-5. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, or Vietnam into the United States or that are engaged in exporting frozen warmwater shrimp from those subject countries to the United States?

No Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I-6. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of frozen warmwater shrimp?

No Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

PART III.—TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Amy Sherman (202-205-3289, amy.sherman@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the harvesting of warmwater shrimp since January 1, 2009.

- (check as many as appropriate)* *(please describe)*
- plant openings _____

 - plant closings..... _____

 - relocations _____

 - expansions..... _____

 - acquisitions..... _____

 - consolidations..... _____

 - prolonged shutdowns or
production curtailments..... _____

 - revised labor agreements..... _____

 - other (*e.g.*, technology) _____

PART III.—TRADE AND RELATED INFORMATION--Continued

II-3. Please describe any state and federal limitations on shrimp fishing activities, e.g., limitations on areas where it is permissible to fish, limitations on length of season, use of specified gear (TED’s and by-catch reduction devices, etc.). Describe expected enforcement time of such limitations.

II-4. Please describe any contracts or other legal relationships your firm may have with processors of frozen warmwater shrimp.

II-5. **Same boats and workers.**--Has your firm since 2009 harvested, or does your firm anticipate harvesting in the future, other fish or seafood products on the same boat used in the harvest of warmwater shrimp and/or using the same production and related workers employed to harvest warmwater shrimp?

- No Yes-- List the following information and report your firm’s combined harvest capacity and harvest of these products and warmwater shrimp in the periods indicated. **Shrimp quantities should be headless, shell on, using the National Marine Fisheries conversion factor of 0.629. The quantity of other products should be reported on a live weight basis.**

<u>Product</u>	<u>Period</u>	<u>Basis for allocation of capacity and employment data (indicate if different)</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

(Quantity in pounds)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Overall Production Capacity					
Harvest of:					
frozen warmwater shrimp					
Other product 1 _____					
Other product 2 _____					

PART III.— TRADE AND RELATED INFORMATION--Continued

II-6. **Production constraints**--Please describe the constraint(s) that set the limit(s) on your harvest capabilities.

II-7. **Trade data**--Report your firm's production capacity, production, shipments, inventories, and employment related to the harvest of warmwater shrimp in your U.S. establishment(s) during the specified periods. (See definitions in the instruction booklet.) **Shrimp quantities should be headless, shell on, using the National Marine Fisheries conversion factor of 0.629.**

Quantity (in pounds) and value (in \$1,000)					
Item	Calendar years			January-September	
	2009	2010	2011	2011	2012
Warmwater shrimp harvested (quantity)					
U.S. shipments:					
Sold to processors/docks:					
quantity					
value					
Transfers to related firms:¹					
quantity					
value					
Other:					
quantity					
value					
Export shipments:²					
quantity					
value					
Employment data:					
Average number of PRWs (number)					
Hours worked by PRWs (1,000 hours)					
Wages paid to PRWs (value)					
Days boat at sea (total number of days at sea)					
¹ Transfers to related firms should be valued at fair market value. In the event that you use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: <hr/>					
² Identify your principal export markets: _____ <hr/>					
Reconciliation of data.--Please note that the quantities reported above should reconcile as follows: Warmwater shrimp harvested equals U.S. shipments sold to processors/docks, plus U.S. shipment transfers to related firms, plus Other, plus export shipments. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____					

PART III.— TRADE AND RELATED INFORMATION--Continued

II-8. **Related firms**--If you reported transfers to related firms in question II-7, please indicate the nature of the relationship between your firm and the related firms (*e.g.*, joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

II-9. **Gulf Oil Spill**—Has the Gulf Oil Spill affected your supply?

No Yes--Please describe. Also please indicate when you expect that supply will come back to pre-oil spill levels.

II-10. **Gulf Oil Spill**—Has the Gulf Oil Spill affected demand for warmwater shrimp?

No Yes--Please describe. Also please indicate when you expect that demand will come back to pre-oil spill levels.

II-11. **Natural disasters and/or diseases**—Have any other natural disasters or diseases affected your supply?

No Yes--Please describe.

II-12. **Natural disasters and/or diseases**—Have any other natural disasters or diseases affected demand for warmwater shrimp?

No Yes--Please describe.

PART III.—FINANCIAL INFORMATION

Address questions on this part of the questionnaire to David Boyland (202-708-4725, David.Boyland@usitc.gov).

III-1. **Contact information**-- Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

III-2. **Accounting system**--Briefly describe your financial accounting system.

A. When does your fiscal year end (month and day)? _____
If your fiscal year changed during the period examined, explain below:

III-3. **Form of organization**--Please check the term which best describes your organization.

Proprietorship Partnership Corporation Other (specify) _____

III-4. **Accounting basis**—The financial records of your firm are prepared on the basis of:

GAAP Cash Tax Other comprehensive basis of accounting (specify) _____

PART III.— FINANCIAL INFORMATION--Continued

III-5. Operations on fresh warmwater shrimp.--Report the revenue and related cost information requested below on the warmwater shrimp and prawn operations of your U.S. establishment(s). Provide data for your three most recently completed fiscal years in chronological order from left to right and the specified interim periods. **Quantities reported should be headless, shell on, using the National Marine Fisheries conversion factor of 0.629.**

Item	Quantity (in pounds) and value (in dollars)				
	Fiscal years ended--			January-September	
	_____	_____	_____	2011	2012
Net sales quantities: ¹					
Sold to processors/docks					
Transferred to related processors/docks					
Other					
Total net sales quantities					
Net sales values: ¹					
Sold to processors/docks					
Transferred to related processors/docks					
Other					
Total net sales values					
Operating expenses: ²					
Labor (i.e., crew share)					
Fuel and oil					
Groceries and ice					
Fishing gear					
Taxes and licenses (other than federal and state income taxes)					
Insurance					
Vessel repairs and maintenance (include labor) ³					
Interest					
Depreciation					
All other expenses					
Total operating expenses					
Corporation officers’ or partners’ salaries					
Other revenue and gulf oil spill compensation					
Net income or (loss) before income taxes					
Other items:					
Capital expenditures					
Total assets					

¹ Include sales related to warmwater shrimp and prawn operations only. The quantities and values reported in this table should generally reflect the shipment quantities and values reported in Part II of this questionnaire.

² Operating expenses related to warmwater shrimp and prawn operations only.

³ Include labor costs incurred specifically for maintenance and repairs in the “vessel repairs and maintenance” line and not in the labor (crew share) line.

PART III.— FINANCIAL INFORMATION--Continued

III-6. **Effects of imports**--Since January 1, 2009, has your firm experienced any actual negative effects on its return on investment or its growth, investment, ability to raise capital, existing development and production efforts (including efforts to develop a derivative or more advanced version of the product), or the scale of capital investments as a result of imports of frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, or Vietnam?

- No Yes--My firm has experienced actual negative effects as follows:
- Cancellation, postponement, or rejection of expansion projects
 - Denial or rejection of investment proposal
 - Reduction in the size of capital investments
 - Rejection of bank loans
 - Lowering of credit rating
 - Problem related to the issue of stocks or bonds
 - Other (specify) _____

III-7. **Anticipated effects of imports**--Does your firm anticipate any negative effects due to imports of frozen warmwater shrimp from China, Ecuador, India, Indonesia, Malaysia, Thailand, or Vietnam?

- No Yes--My firm anticipates negative effects as follows:
- _____
- _____
- _____